



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of: **Rodriguez et al.**

Serial No.: **09/942,634**

Filed: **August 30, 2001**

For: **Apparatus and Method for  
Security Object Enhancement and  
Management**

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Group Art Unit: **2131**

Examiner: **Unknown**

Attorney Docket No.: **AUS920010559US1**

JAN 07 2002

Group 2100

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on 11-27-01

By:

Dell Whitton  
Dell Whitton

**TRANSMITTAL DOCUMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

ENCLOSED HEREWITH:

- Information Disclosure Statement;
- Form PTO-1449;
- References AA-AM; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee

Duke W. Yee

Registration No. 34,285

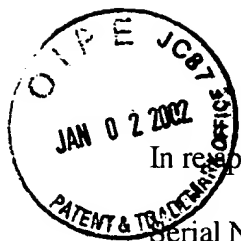
CARSTENS, YEE & CAHOON, LLP

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(972) 367-2001

ATTORNEY FOR APPLICANT



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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Hon. Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

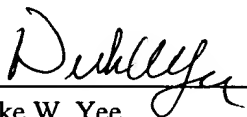
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Respectfully submitted,

Date: 11/27/01

  
\_\_\_\_\_  
Duke W. Yee  
*Registration No. 34,285*  
**CARSTENS, YEE & CAHOON, LLP**  
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Page 1 of 1

<b>Form PTO-1449</b>			<b>ATTORNEY DOCKET NO.</b> AUS920010559US1		<b>SERIAL NO.</b> 09/942,634	
<b>LIST OF PRIOR ART CITED BY APPLICANT</b> (Use several sheets if necessary)			<b>APPLICANT</b> Rodriguez et al.		<b>RECEIVED</b> JAN 07 2002 Group 2100	
			<b>FILING DATE</b> August 30, 2001		<b>GROUP ART UNIT</b> 2131	
<b>U.S. PATENT DOCUMENTS</b>						
<b>EXAMINER INITIAL</b>	<b>DOCUMENT NO.</b>		<b>PUBLICATION DATE</b>	<b>INVENTOR NAME</b>	<b>CLASS/SUBCLASS</b>	<b>FILING DATE</b>
	AA	5592553	Jan. 7, 1997	Guski et al.	380/23	Feb. 8, 1996
	AB	5661807	Aug. 26, 1997	Guski et al.	380/25	Aug. 18, 1995
	AC	5365574	Nov. 15, 1994	Hunt et al.	379/88	Nov. 25, 1992
	AD	5944825	Aug. 31, 1999	Bellemore et al.	713/202	May 30, 1997
	AE	5606663	Feb. 25, 1997	Kadooka	395/188.01	Nov. 15, 1994
	AF	6107935	Aug. 22, 2000	Comerford et al.	340/825.31	Feb. 11, 1998
	AG	5922073	Jul. 13, 1999	Shimada	713/200	Dec. 27, 1996
	AH	5335346	Aug. 2, 1994	Fabbio	395/600	Dec. 12, 1991
	AI	5752244	May 12, 1998	Rose et al.	707/5	Jul. 15, 1996
	AJ	6029247	Feb. 22, 2000	Ferguson	713/201	Dec. 9, 1996
	AK	6073242	Jun. 6, 2000	Hardy et al.	713/201	Mar. 19, 1998
	AL	5619571	Apr. 8, 1997	Sandstrom et al.	380/4	Jun. 1, 1995
<b>FOREIGN PATENT DOCUMENTS</b>						
<b>EXAMINER INITIAL</b>	<b>DOCUMENT NO.</b>		<b>PUBLICATION DATE</b>	<b>COUNTRY</b>	<b>CLASS/SUBCLASS</b>	<b>TRANSLATION YES NO</b>
	AM	JP10091266A	Apr. 10, 1998	Japan	G06F 1/00	X
<b>OTHER PRIOR ART (including author, title, date, pertinent page, etc.)</b>						
<b>DATE CONSIDERED</b>				<b>EXAMINER</b>		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						